

Return of Private Foundation

2020

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year **2020** or tax year beginning , **2020**, and ending , **20**

Name of foundation
NATIVE AMERICAN AGRICULTURE FUND

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
534 WEST RESEARCH CENTER BLVD #220

City or town, state or province, country, and ZIP or foreign postal code
FAYETTEVILLE, AR 72701

A Employer identification number
83-1326044

B Telephone number (see instructions)
(479) 445-6226

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **297,001,727.**

J Accounting method: Cash Accrual
 Other (specify) _____
 (Part I, column (d), must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,828,387.	3,828,387.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	307,526.			
	b Gross sales price for all assets on line 6a	1,214,936,475.			
	7 Capital gain net income (from Part IV, line 2)		307,526.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	4,135,913.	4,135,913.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	319,637.			319,637.
	14 Other employee salaries and wages	2,098,035.	285,180.		1,732,397.
	15 Pension plans, employee benefits	224,708.	26,506.		189,405.
	16a Legal fees (attach schedule) <u>ATCH 1</u>	376,942.			360,212.
	b Accounting fees (attach schedule) <u>ATCH 2</u>	76,555.			73,157.
	c Other professional fees (attach schedule) <u>[3]</u>	742,550.	400,351.		327,011.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) <u>[4]</u>	25,000.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	189,213.			189,213.
	21 Travel, conferences, and meetings	517,920.			320,659.
	22 Printing and publications				
	23 Other expenses (attach schedule) <u>ATCH 5</u>	514,627.			491,779.
	24 Total operating and administrative expenses. Add lines 13 through 23.	5,085,187.	712,037.		4,003,470.
	25 Contributions, gifts, grants paid	17,998,596.			11,672,110.
26 Total expenses and disbursements. Add lines 24 and 25	23,083,783.	712,037.	0.	15,675,580.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-18,947,870.				
b Net investment income (if negative, enter -0-)		3,423,876.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	479,779.	671,160.	671,160.	
	2	Savings and temporary cash investments	194,313,303.	16,639,178.	16,639,178.	
	3	Accounts receivable ▶ 1,980,933.				
		Less: allowance for doubtful accounts ▶	1,148,572.	1,980,933.	1,980,933.	
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable.				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use.				
	9	Prepaid expenses and deferred charges ATCH 6	6,017.	149,628.	149,628.	
	10a	Investments - U.S. and state government obligations (attach schedule).				
	b	Investments - corporate stock (attach schedule) ATCH 7	83,263,906.	277,560,828.	277,560,828.	
	c	Investments - corporate bonds (attach schedule).				
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans.					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment: basis ▶ 9,361.					
	Less: accumulated depreciation (attach schedule) ▶ 9,361.					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	279,211,577.	297,001,727.	297,001,727.		
Liabilities	17	Accounts payable and accrued expenses	79,689.	27,279,585.		
	18	Grants payable.	10,000,000.	8,347,532.		
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons.				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 8)	126,206.	166,232.		
23	Total liabilities (add lines 17 through 22)	10,205,895.	35,793,349.			
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.					
	24	Net assets without donor restrictions	269,005,682.	261,208,378.		
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund.				
	28	Retained earnings, accumulated income, endowment, or other funds				
29	Total net assets or fund balances (see instructions).	269,005,682.	261,208,378.			
30	Total liabilities and net assets/fund balances (see instructions)	279,211,577.	297,001,727.			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return).	1 269,005,682.
2	Enter amount from Part I, line 27a.	2 -18,947,870.
3	Other increases not included in line 2 (itemize) ▶ ATCH 9	3 11,150,566.
4	Add lines 1, 2, and 3	4 261,208,378.
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 261,208,378.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)

(b) How acquired
P - Purchase
D - Donation

(c) Date acquired
(mo., day, yr.)

(d) Date sold
(mo., day, yr.)

1 a	SEE PART IV SCHEDULE		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	307,526.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1	Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
	Reserved				
	Reserved				
	Reserved				
	Reserved				

2	Reserved	2	
3	Reserved	3	
4	Reserved	4	
5	Reserved	5	
6	Reserved	6	
7	Reserved	7	
8	Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and amount of line 10 to be credited to 2021 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Columns include question number, Yes, and No. Questions cover political influence, spending for political purposes, Form 1120-POL filing, tax on political expenditures, reimbursement, IRS reporting, unrelated business income, tax return filing, liquidation, 508(e) requirements, assets, states reported to, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: 'At any time during the year, did the foundation, directly or indirectly, own a controlled entity...' Yes: , No: X. Row 12: 'Did the foundation make a distribution to a donor advised fund...' Yes: , No: X. Row 13: 'Did the foundation comply with the public inspection requirements...' Yes: X, No: . Row 14: 'The books are in care of...' Website: NATIVEAMERICANAGRICULTUREFUND.ORG, Telephone: 479-445-6226, Located at: ATTACHMENT 10, ZIP+4: 72701. Row 15: 'Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF...' Amount: 15. Row 16: 'At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?' Yes: , No: X.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: 'During the year, did the foundation (either directly or indirectly):' (1) Engage in the sale or exchange... Yes: , No: X. (2) Borrow money from... Yes: , No: X. (3) Furnish goods, services... Yes: , No: X. (4) Pay compensation... Yes: , No: X. (5) Transfer any income or assets... Yes: , No: X. (6) Agree to pay money or property to a government official... Yes: , No: X. Row 1b: 'If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions...' Yes: , No: . Row 1c: 'Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts...' Yes: , No: X. Row 2: 'Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):' a) 'At the end of tax year 2020, did the foundation have any undistributed income...' Yes: , No: X. b) 'Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)...' Yes: , No: . c) 'If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.' Row 3a: 'Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?' Yes: , No: X. Row 3b: 'If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period... (3) the lapse of the 10-, 15-, or 20-year first phase holding period?' Yes: , No: . Row 4a: 'Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?' Yes: , No: X. Row 4b: 'Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?' Yes: , No: X.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Yes No

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5b		
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		319,637.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		945,450.	0.	0.

Total number of other employees paid over \$50,000. 14

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE NATIVE AMERICAN AGRICULTURE FUND IS A PRIVATE NON-OPERATING FOUNDATION THAT PROVIDES GRANTS TO ELIGIBLE GRANT RECIPIENTS TO FUND THE PROVISION OF BUSINESS	
2 ASSISTANCE, AGRICULTURAL EDUCATION, TECHNICAL SUPPORT AND ADVOCACY SERVICES TO NATIVE AMERICAN FARMERS AND RANCHERS TO SUPPORT AND PROMOTE THEIR CONTINUED ENGAGEMENT IN	
3 AGRICULTURE.	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	180,412,367.
b	Average of monthly cash balances	1b	104,940,226.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	285,352,593.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	285,352,593.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	4,280,289.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	281,072,304.
6	Minimum investment return. Enter 5% of line 5	6	14,053,615.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14,053,615.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	47,592.
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	47,592.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	14,006,023.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	14,006,023.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	14,006,023.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	15,675,580.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	6,326,486.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	22,002,066.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	22,002,066.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				14,006,023.
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			1,259,527.	
b Total for prior years: 20 <u>18</u> , 20 <u>17</u> , 20 <u>16</u>				
3 Excess distributions carryover, if any, to 2020:				
a From 2015				
b From 2016				
c From 2017				
d From 2018				
e From 2019				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>22,002,066.</u>				
a Applied to 2019, but not more than line 2a . . .			1,259,527.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2020 distributable amount.				14,006,023.
e Remaining amount distributed out of corpus. . .	6,736,516.			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,736,516.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	6,736,516.			
10 Analysis of line 9:				
a Excess from 2016 . . .				
b Excess from 2017 . . .				
c Excess from 2018 . . .				
d Excess from 2019 . . .				
e Excess from 2020 . . .	6,736,516.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

ATCH 14

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 15</p>				
Total ▶ 3a				11,672,110.
<p>b <i>Approved for future payment</i></p> <p>ATCH 16</p>				
Total ▶ 3b				6,326,486.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with columns Yes and No. Rows 1a(1) through 1b(6) and 1c. All 'No' boxes are checked (X).

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: TONI STANGER-MCLAUGHLIN, Date: 06/15/2021, Title: CEO

May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only

Print/Type preparer's name: SHAWN M LOADER, Preparer's signature: Shawn M. Loader, Date: 05/17/2021, Firm's name: BKD, LLP, Firm's address: 110 N. ELGIN AVE, SUITE 400, TULSA, OK, Firm's EIN: 44-0160260, Phone no.: 918.584.2900

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
366630396.		BB&T (SEE ATTACHED SCHEDULES) 366345069.					VAR 285,327.	12/31/2020
848306079.		NB (SEE ATTACHED SCHEDULES) 848283880.					VAR 22,199.	12/31/2020
TOTAL GAIN (LOSS)							<u>307,526.</u>	

ATTACHMENT 1

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	376,942.			360,212.
TOTALS	<u>376,942.</u>			<u>360,212.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	76,555.			73,157.
TOTALS	76,555.			73,157.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	400,351.	400,351.	
CONSULTING FEES	342,199.		327,011.
TOTALS	<u>742,550.</u>	<u>400,351.</u>	<u>327,011.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAXES	25,000.
TOTALS	<u>25,000.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ADVERTISING AND PROMOTION	114,624.	109,537.
OFFICE EXPENSES	184,023.	175,856.
INFORMATION TECHNOLOGY	111,315.	106,375.
INSURANCE EXPENSE	49,899.	47,684.
TRAINING AND DEVELOPMENT	52,916.	50,567.
OTHER EXPENSE	1,850.	1,760.
TOTALS	<u>514,627.</u>	<u>491,779.</u>

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID SOFTWARE FEES	36,848.	36,848.
PREPAID EXPENSE	75,000.	75,000.
PREPAID INSURANCE	34,606.	34,606.
PREPAID TAX	3,174.	3,174.
TOTALS	<u><u>149,628.</u></u>	<u><u>149,628.</u></u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITIES -NEUBERGER BERMAN	59,551,030.	59,551,030.
FIXED INCOME-NEUBERGER BERMAN	218,009,798.	218,009,798.
TOTALS	<u>277,560,828.</u>	<u>277,560,828.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
PAYROLL LIABILITIES	166,232.
TOTALS	<u>166,232.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAINS	11,150,566.
TOTAL	<u>11,150,566.</u>

ATTACHMENT 10

FORM 990PF, PART VII-A, LINE 14 - LOCATION OF BOOKS

534 WEST RESEARCH CENTER BLVD, SUITE 220 FAYETTEVILLE, AR

FORM 990-EF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CHARLES GRAHAM 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	23,236.		
CLARYCA MANDAN 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	23,736.		
DAVE ARCHAMBAULT 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	11,117.		
ELISIE MEEKS 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	BOARD CHAIR 10.00	23,737.		
JIM LADUCER 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	27,237.		
JOE HILLER 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	COMMITTEE CHAIR 10.00	25,737.		

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MIKE ROBERTS 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	20,737.		
MONICA NUVAMSA 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	COMMITTEE CHAIR 10.00	16,678.		
PAT GWIN 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	24,737.		
PAUL LUMLEY 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	BOARD SECRETARY 8.00	26,737.		
H. PORTER HOLDER 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	TRUSTEE 8.00	24,237.		
RICK WILLIAMS 534 WEST RESEARCH CENTER BLVD #220 FAYETTEVILLE, AR 72701	BOARD VICE CHAIR 10.00	22,737.		

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ROSS RACINE 534 WEST RESEARCH CENTER BLVD #220 PAYETTEVILLE, AR 72701	COMMITTEE CHAIR 10.00	19,237.		
SHERRY SAIWAY BLACK 534 WEST RESEARCH CENTER BLVD #220 PAYETTEVILLE, AR 72701	COMMITTEE CHAIR 10.00	29,737.		
GRAND TOTALS		319,637.	0.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
JANIE HIPP 534 WEST RESEARCH CENTER BLVD SUITE #220 FAYETTEVILLE, AR 72701	CEO 40.00	305,933.
VINCE LOGAN 534 WEST RESEARCH CENTER BLVD SUITE #220 FAYETTEVILLE, AR 72701	CFO/CIO 40.00	241,867.
KATHY CALLAHAN 534 WEST RESEARCH CENTER BLVD SUITE #220 FAYETTEVILLE, AR 72701	COO 40.00	168,839.
SANDY MARTINI 534 WEST RESEARCH CENTER BLVD SUITE #220 FAYETTEVILLE, AR 72701	SR DIR. PROGS & OPS 40.00	122,990.
TONI STANGER-MCLAUGHLIN 534 WEST RESEARCH CENTER BLVD SUITE #220 FAYETTEVILLE, AR 72701	REGIONAL DIRECTOR 40.00	105,821.
TOTAL COMPENSATION		<u>945,450.</u>

FORM 990PF, PART XII - CASH DISTRIBUTION EXPLANATION

1. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE TO BE SET ASIDE ARE DESCRIBED AS FOLLOWS:
2. THE AMOUNTS SET ASIDE DURING THE 2020 REPORT YEAR FOR THE PROJECT DESCRIBED IN ITEM 1 WILL ACTUALLY BE PAID WITHIN THE 48 MONTH PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.
3. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE YEAR IN WHICH THE SET-ASIDE WAS MADE.
4. SEE ATTACHMENT FOR A LIST OF THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC. 4942(D) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-AND FULL PAYMENT PERIODS.
5. SEE ATTACHMENT FOR A LIST OF ACTUAL PAYMENTS MADE IN CASH OR ITS EQUIVALENT FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE ORGANIZATION (VIA ONLINE APPL.)
534 WEST RESEARCH CENTER BLVD
FAYETTEVILLE, AR 72701
479.445.6226

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY
SHOULD INCLUDE:

APPLICATIONS SHOULD BE COMPLETED ONLINE THROUGH
[HTTPS://NATIVEAMERICANAGRICULTUREFUND.ORG/GRANTS](https://nativeamericanagriculturefund.org/grants)

QUESTIONS CAN BE DIRECTED TO THE PHONE NUMBER ABOVE OR BY E-MAILING
GRANTS@NATIVEAMERICANAGRICULTUREFUND.ORG

SUBMISSION DEADLINES:

2020 SUBMISSIONS WERE DUE BY JUNE 1, 2020

2021 SUBMISSIONS ARE DUE BY JUNE 1, 2021

RESTRICTIONS OR LIMITATIONS ON AWARDS:

THE FOUR (4) TYPES OF ELIGIBLE ENTITIES ARE, GENERALLY: 501(C)(3)
ORGANIZATIONS OR THEIR FISCAL AGENTS WITH 501(C)(3) STATUS,
INCLUDING EDUCATIONAL ORGANIZATIONS, CDFIS OR NATIVE CDFIS, AND
TRIBAL GOVERNMENTS (STATE OR FEDERALLY-RECOGNIZED) OR
INSTRUMENTALITIES OF THOSE GOVERNMENTS. YOUR FIRST STEP IN AN
APPLICATION SUBMITTAL IS TO DETERMINE YOUR ORGANIZATION'S
ELIGIBILITY. THE RFA AND FAQ LINKS PROVIDED ON THIS PAGE GIVE
GREATER DETAIL CONCERNING ELIGIBLE ENTITY REQUIREMENTS.

FORM 990-BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHED SCHEDULES

NONE

SEE ATTACHED SCHEDULES

11,672,110.

534 WEST RESEARCH CENTER BLVD

PC

FAYETTEVILLE, AR 72701

TOTAL CONTRIBUTIONS PAID

11,672,110.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 16

RECIPIENT NAME AND ADDRESS

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHMENT
534 WEST RESEARCH CENTER BLVD
PAYETTEVILLE, AR 72701

NONE
FC

SEE ATTACHED SCHEDULES

6,326,486.

TOTAL CONTRIBUTIONS APPROVED

6,326,486.

**SCHEDULE D
(Form 1041)**

Capital Gains and Losses

OMB No. 1545-0092

2020

Department of the Treasury
Internal Revenue Service

- ▶ Attach to Form 1041, Form 5227, or Form 990-T.
- ▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
- ▶ Go to www.irs.gov/F1041 for instructions and the latest information.

Name of estate or trust NATIVE AMERICAN AGRICULTURE FUND	Employer identification number 83-1326044
--	---

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? Yes No

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2019 Capital Loss Carryover Worksheet.				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				7

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	1214936475.	1214628949.		307,526.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2019 Capital Loss Carryover Worksheet.				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				16 307,526.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2020

Part III Summary of Parts I and II

Caution: Read the instructions *before* completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		
18 Net long-term gain or (loss):			
a Total for year	18a		307,526.
b Unrecaptured section 1250 gain (see line 18 of the worksheet).	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		307,526.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4c, if a trust), the smaller of:	20	()
a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 23 (or Form 990-T, Part I, line 11), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 23, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, Part I, line 11, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 23 (or Form 990-T, Part I, line 11)	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T).	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,650	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$13,150.	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% (0.15) ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% (0.20) ▶	41		
42 Figure the tax on the amount on line 27. Use the 2020 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2020 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, Part II, line 2). ▶	45		

